

ELECTRONICALLY

**FILED**

*Superior Court of California,  
County of San Francisco*

**12/28/2016**

**Clerk of the Court**

**BY: MADONNA CARANTO  
Deputy Clerk**

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8 Lead Counsel for Plaintiffs  
9

10 SUPERIOR COURT OF THE STATE OF CALIFORNIA

11 COUNTY OF SAN FRANCISCO

12 In re KING DIGITAL ENTERTAINMENT plc)  
13 SHAREHOLDER LITIGATION )

Lead Case No. CGC-15-544770

) CLASS ACTION

14 This Document Relates To: )  
15 )

SUPPLEMENTAL DECLARATION OF  
MICHAEL JOAQUIN REGARDING NOTICE  
AND ADMINISTRATION

16 ALL ACTIONS. )  
17

Assigned for All Purposes to the  
Honorable Curtis E.A. Karnow

18 Date: January 12, 2017

19 Time: 4:00 p.m.

20 Dept. 304

21 Date Action Filed: 03/17/15  
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1 I, MICHAEL JOAQUIN, declare and state as follows:

2 1. I am a Senior Vice President of Securities of Gilardi & Co. LLC (“Gilardi”), located at  
3 3301 Kerner Boulevard, San Rafael, California 94901. At the request of Class Counsel, I am providing  
4 this declaration to give the Court and the parties to the above-captioned action additional information  
5 about the proposed documents and language that will be used to provide notice of the proposed  
6 settlement to the investors who make up the Class and obtain the information required to accurately  
7 determine claim eligibility during the administration of the claim process. I make this declaration based  
8 on personal knowledge, and if called to testify I could and would do so competently.

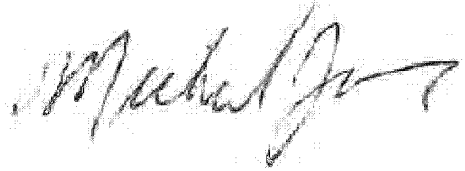
9 2. The Court has requested further information about the layout and size of the Summary  
10 Notices which will be published in the legal advertisement section of the national edition of *The Wall*  
11 *Street Journal* (WSJ) and with the *PR Newswire*, an online newswire service. *PR Newswire’s* network  
12 reaches more than 4,500 U.S. websites, including popular investor sites such as Yahoo! Finance,  
13 MarketWatch and Business Journals, as well as nearly 3,000 newsrooms. Attached as Exhibit A are  
14 samples of similar notices published in each of these publications in a recent matter, as well as a  
15 formatted version of the actual proposed summary notice in this matter shown in the font and  
16 dimensions it will have in the WSJ and the content which will be provided to the *PR Newswire*. The  
17 WSJ advertisement will cover the same percentage of the page, and be similar in appearance and  
18 placement to the example provided in Exhibit A. However, the WSJ cannot provide the page number or  
19 exact location of the advertisement on the page until the paper is actually set up for printing. The *PR*  
20 *Newswire* content will use the exact language and overall layout and format as shown in that document.  
21 However, a sample of the content exactly as it will appear on the website cannot be created prior to  
22 publication.

23 3. The Court has also requested additional information regarding the number of residual  
24 distributions we anticipate making in this administration. In other recent cases where Gilardi has been  
25 the administrator, the initial distribution of funds was often sufficient, with either no balance of funds  
26 remaining or a *de minimis* balance donated to charity. In instances where a residual distribution was  
27 determined to be feasible, an average of one residual distribution was required to complete the  
28 administration.

1           4.       Finally, the Court has requested whether the following language is necessary on the  
2 instructions which accompany the Proof of Claim: “The date of covering a “short sale” is deemed to be  
3 the date of purchase of King ordinary shares. The date of a “short sale” is deemed to be the date of sale  
4 of King ordinary shares.” In Gilardi’s experience, investors who sell shares short and subsequently  
5 purchase to cover are typically more experienced investors. However, because these trades are not  
6 standard purchase and sale transactions, we frequently received questions from investors about how and  
7 where to list these trades on the Proof of Claim form. This instruction is designed to provide clarity to  
8 those investors in the instructions which accompany the claim form, reducing the need for investors to  
9 contact us via phone or e-mail to obtain guidance.

10           I declare under penalty of perjury under the laws of the State of California that the foregoing is  
11 true and correct and that this declaration was executed this 27th day of December, 2016, at San Rafael,  
12 California.

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MICHAEL JOAQUIN

# **Exhibit A**

SUPERIOR COURT OF THE STATE OF CALIFORNIA  
COUNTY OF SAN FRANCISCO

In re KING DIGITAL ENTERTAINMENT plc SHAREHOLDER  
LITIGATION

) Lead Case No. CGC-15-544770

) CLASS ACTION

) SUMMARY NOTICE OF PROPOSED SETTLEMENT OF CLASS  
ACTION

\_\_\_\_\_ )  
This Document Relates To: )

) EXHIBIT A-3

ALL ACTIONS. )

) Assigned for All Purposes to the  
Honorable Curtis E.A. Karnow  
Dept. 304

) Date Action Filed: 03/17/15

**TO: ALL PERSONS AND ENTITIES WHO PURCHASED KING DIGITAL ENTERTAINMENT PLC ("KING" OR THE "COMPANY")  
ORDINARY SHARES FROM MARCH 26, 2014 TO SEPTEMBER 22, 2014, AND WHO SOLD THOSE SHARES AT A LOSS (THE  
"CLASS")**

**THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY AND  
IN ITS ENTIRETY.**

YOU ARE HEREBY NOTIFIED that a hearing will be held on \_\_\_\_\_, 201\_\_\_\_, at \_\_\_\_\_m., before the Honorable Curtis E.A. Karnow at the Superior Court of California, County of San Francisco (the "Court"), located at the Civic Center Courthouse, 400 McAllister Street, San Francisco, CA 94102, to determine whether: (1) the proposed Settlement as set forth in the Stipulation of Settlement dated October 31, 2016 ("Stipulation") of the above-captioned action ("Litigation") for \$18,500,000 in cash should be approved by the Court as fair, reasonable and adequate; (2) the Plan of Allocation should be approved by the Court, as fair, reasonable and adequate; (3) to award Class Counsel attorneys' fees and expenses out of the Settlement Fund (as defined in the Notice of Proposed Settlement of Class Action ("Notice"), which is discussed below); and (4) to pay Class Representatives service awards for representing the Class in this Litigation out of the Settlement Fund.

This Litigation is a securities class action against King, certain of its executives, and the underwriters of King's March 26, 2014 Initial Public Offering ("IPO") alleging that King's Registration Statement and Prospectus ("Registration Statement"), issued in connection with the IPO, misstated and omitted material facts from the Registration Statement, including, among other things, material information about the Company's hit game, Candy Crush Saga's ("Candy Crush") decline in gross bookings and the alleged inability of the Company to diversify away from Candy Crush. Defendants deny all of Plaintiffs' allegations.

**IF YOU PURCHASED KING ORDINARY SHARES FROM MARCH 26, 2014 TO SEPTEMBER 22, 2014, AND SOLD THOSE SHARES AT A  
LOSS, YOUR RIGHTS MAY BE AFFECTED BY THE SETTLEMENT OF THIS LITIGATION.**

To share in the distribution of the Net Settlement Fund, you must establish your rights by submitting a Proof of Claim by mail (postmarked no later than \_\_\_\_\_) or submitted electronically no later than \_\_\_\_\_ at [www.kingdigitalshareholdersettlement.com](http://www.kingdigitalshareholdersettlement.com). Your failure to submit your Proof of Claim by \_\_\_\_\_ will subject your claim to possible rejection and may preclude you from receiving any of the recovery in connection with the Settlement of this Litigation. If you are a Member of the Class and do not request exclusion, you will be bound by the Settlement and any judgment and release entered in the Litigation, including, but not limited to, the Judgment, whether or not you submit a Proof of Claim. Class Counsel represent you and other Members of the Class. If you want to be represented by your own lawyer, you may hire one at your own expense.

If you have not received a copy of the Notice, which more completely describes the Settlement and your rights thereunder (including your right to object to the Settlement or exclude yourself from the Class), and a Proof of Claim form, you may obtain these documents, as well as a copy of the Stipulation (which, among other things, contains definitions for the defined terms used in this Summary Notice) and other Settlement documents, online at [www.kingdigitalshareholdersettlement.com](http://www.kingdigitalshareholdersettlement.com), or by writing to:

King Digital Shareholder Litigation  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 30247  
College Station, TX 77842-3247  
Phone: 1-866-664-1678

Inquiries may also be made to a representative of Class Counsel:

ROBBINS GELLER RUDMAN & DOWD LLP  
Shareholder Relations  
c/o Rick Nelson  
655 West Broadway, Suite 1900  
San Diego, CA 92101  
Phone: 1-800-449-4900

Inquiries should NOT be directed to Defendants, the Court, or the Clerk of the Court.

**IF YOU DESIRE TO BE EXCLUDED FROM THE CLASS, YOU MUST SUBMIT A REQUEST FOR EXCLUSION SUCH THAT IT IS  
POSTMARKED NO LATER THAN \_\_\_\_\_, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE. ALL MEMBERS OF THE CLASS  
WHO HAVE NOT REQUESTED EXCLUSION FROM THE CLASS WILL BE BOUND BY THE SETTLEMENT ENTERED IN THE LITIGATION  
EVEN IF THEY DO NOT FILE A TIMELY PROOF OF CLAIM.**

**IF YOU ARE A CLASS MEMBER, YOU HAVE THE RIGHT TO OBJECT TO THE SETTLEMENT, THE PLAN OF ALLOCATION,  
THE REQUEST BY CLASS COUNSEL FOR AN AWARD OF ATTORNEYS' FEES AND EXPENSES, AND/OR THE PAYMENT TO CLASS  
REPRESENTATIVES FOR THEIR TIME AND EXPENSES. ANY OBJECTIONS MUST BE SENT TO CLASS COUNSEL POSTMARKED BY  
\_\_\_\_\_, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE.**

DATED: \_\_\_\_\_

BY ORDER OF THE SUPERIOR COURT OF CALIFORNIA, COUNTY  
OF SAN FRANCISCO  
HONORABLE CURTIS E.A. KARNOW

# Robbins Geller Rudman & Dowd LLP and Scott+Scott LLP Announce Proposed Settlement of King Digital Shareholder Litigation

San Francisco, CA (PR Newswire) – The following statement is being issued by Robbins Geller Rudman & Dowd LLP and Scott+Scott LLP regarding the King Digital Shareholder Litigation:

SUPERIOR COURT OF THE STATE OF CALIFORNIA  
COUNTY OF SAN FRANCISCO

In re KING DIGITAL ENTERTAINMENT plc SHAREHOLDER LITIGATION	)	Lead Case No. CGC-15-544770
	)	<u>CLASS ACTION</u>
	)	SUMMARY NOTICE OF PROPOSED
_____	)	SETTLEMENT OF CLASS ACTION
This Document Relates To:	)	EXHIBIT A-3
ALL ACTIONS.	)	Assigned for All Purposes to the
_____	)	Honorable Curtis E.A. Karnow
	)	Dept. 304
	)	Date Action Filed: 03/17/15

**TO: ALL PERSONS AND ENTITIES WHO PURCHASED KING DIGITAL ENTERTAINMENT PLC (“KING” OR THE “COMPANY”) ORDINARY SHARES FROM MARCH 26, 2014 TO SEPTEMBER 22, 2014, AND WHO SOLD THOSE SHARES AT A LOSS (THE “CLASS”)**

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PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY.

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This Litigation is a securities class action against King, certain of its executives, and the underwriters of King’s March 26, 2014 Initial Public Offering (“IPO”) alleging that King’s Registration Statement and Prospectus (“Registration Statement”), issued in connection with the IPO, misstated and omitted material facts from the Registration Statement, including, among other things, material information about the Company’s hit game, Candy Crush Saga’s (“Candy Crush”) decline in gross bookings and the alleged inability of the Company to diversify away from Candy Crush. Defendants deny all of Plaintiffs’ allegations.

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the Judgment, whether or not you submit a Proof of Claim. Class Counsel represent you and other Members of the Class. If you want to be represented by your own lawyer, you may hire one at your own expense.

If you have not received a copy of the Notice, which more completely describes the Settlement and your rights thereunder (including your right to object to the Settlement or exclude yourself from the Class), and a Proof of Claim form, you may obtain these documents, as well as a copy of the Stipulation (which, among other things, contains definitions for the defined terms used in this Summary Notice) and other Settlement documents, online at [www.kingdigitalshareholdersettlement.com](http://www.kingdigitalshareholdersettlement.com), or by writing to:

King Digital Shareholder Litigation  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 30247  
College Station, TX 77842-3247  
Phone: 1-866-664-1678

Inquiries may also be made to a representative of Class Counsel:

ROBBINS GELLER RUDMAN & DOWD LLP  
Shareholder Relations  
c/o Rick Nelson  
655 West Broadway, Suite 1900  
San Diego, CA 92101  
Phone: 1-800-449-4900

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IF YOU ARE A CLASS MEMBER, YOU HAVE THE RIGHT TO OBJECT TO THE SETTLEMENT, THE PLAN OF ALLOCATION, THE REQUEST BY CLASS COUNSEL FOR AN AWARD OF ATTORNEYS' FEES AND EXPENSES, AND/OR THE PAYMENT TO CLASS REPRESENTATIVES FOR THEIR TIME AND EXPENSES. ANY OBJECTIONS MUST BE SENT TO CLASS COUNSEL POSTMARKED BY \_\_\_\_\_, \_\_\_\_\_, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE.

DATED: \_\_\_\_\_

BY ORDER OF THE SUPERIOR COURT OF  
CALIFORNIA, COUNTY OF SAN FRANCISCO  
HONORABLE CURTIS E.A. KARNOW

COMMODITIES

Futures Contracts | WSJ.com/commodities

Metal & Petroleum Futures

	Contract				Open interest
	Open	High	Low	Settle	
<b>Copper-High (CMX)</b> -25,000 lbs.; \$ per lb.					
July	2.1805	2.1830	2.1315	2.1515	-0.0300 3,130
Sept	2.1840	2.1900	2.1330	2.1535	-0.0300 107,076
<b>Gold (CMX)</b> -100 troy oz.; \$ per troy oz.					
July	1359.00	1374.90	▲ 1359.00	1364.90	8.50 1,048
Aug	1358.00	1377.50	▲ 1356.30	1367.10	8.40 440,793
Oct	1363.50	1380.90	▲ 1361.70	1370.70	8.50 38,066
Dec	1364.70	1384.40	▲ 1363.90	1374.30	8.50 117,940
Feb'17	1370.40	1387.10	▲ 1370.40	1377.50	8.60 15,659
June	1385.90	1391.50	▲ 1381.70	1387.70	8.50 12,518
<b>Palladium (NYM)</b> -50 troy oz.; \$ per troy oz.					
Aug	600.35	607.15	600.35	607.75	4.90 4
Sept	603.15	611.00	591.20	608.55	4.90 21,051
Dec	604.85	610.45	595.30	607.55	5.20 520
<b>Platinum (NYM)</b> -50 troy oz.; \$ per troy oz.					
July	1072.70	1088.50	1068.10	1086.90	14.50 212
Oct	1076.00	1095.60	▲ 1068.00	1091.30	14.40 60,689
<b>Silver (CMX)</b> -5,000 troy oz.; \$ per troy oz.					
July	20.330	20.495	19.945	20.160	0.294 1,556
Sept	20.125	20.505	19.930	20.203	0.296 155,621
<b>Crude Oil, Light Sweet (NYM)</b> -1,000 bbls.; \$ per bbl.					
Aug	46.83	47.95	45.92	47.43	0.83 414,794
Sept	47.58	48.62	46.61	48.14	0.85 277,537
Oct	48.15	49.22	47.25	48.75	0.84 106,906
Nov	48.71	49.80	47.85	49.34	0.83 112,867
Dec	49.36	50.38	48.45	49.89	0.82 243,598
Dec'17	52.40	53.61	51.94	53.20	0.93 141,874
<b>NY Harbor ULSD (NYM)</b> -42,000 gal.; \$ per gal.					
Aug	1.4530	1.4927	1.4289	1.4711	0.255 88,550
Sept	1.4727	1.5138	1.4508	1.4930	0.257 67,446
<b>Gasoline-NY RB0B (NYM)</b> -42,000 gal.; \$ per gal.					
Aug	1.4275	1.4600	1.3807	1.4329	0.042 113,510
Sept	1.4412	1.4733	1.3967	1.4488	0.075 77,661
<b>Natural Gas (NYM)</b> -10,000 MMBtu; \$ per MMBtu					
Aug	2.768	2.802	2.697	2.786	0.22 201,748
Sept	2.765	2.797	2.696	2.777	0.19 217,343
Oct	2.823	2.846	2.746	2.818	0.16 108,794
Nov	2.975	3.001	2.905	2.971	0.16 66,056
Jan'17	3.359	3.394	3.290	3.354	0.13 108,597
March	3.330	3.330	3.234	3.298	0.14 63,283

Agriculture Futures

<b>Corn (CBT)</b> -5,000 bu.; cents per bu.					
July	344.75	346.50	▼ 333.00	335.75	-8.25 4,984
Sept	350.75	352.50	▼ 339.00	341.50	-9.25 563,435
<b>Oats (CBT)</b> -5,000 bu.; cents per bu.					
July	230.25	230.50	▲ 220.00	218.00	2.00 1,100
Dec	192.50	195.00	190.25	193.50	2.00 5,739
<b>Soybeans (CBT)</b> -5,000 bu.; cents per bu.					
July	1115.00	1117.75	1070.00	1106.25	-10.75 4,379
Nov	1078.00	1081.50	1040.50	1073.25	-4.00 410,771
<b>Soybean Meal (CBT)</b> -100 tons; \$ per ton.					
July	365.40	366.50	367.50	366.00	.60 3,241
Dec	375.70	379.40	361.20	378.10	.10 160,604
<b>Soybean Oil (CBT)</b> -60,000 lbs.; cents per lb.					
July	30.78	30.78	30.14	30.25	-.57 2,093
Dec	31.24	31.27	30.47	30.79	-.53 173,178
<b>Rough Rice (CBT)</b> -2,000 cwt.; \$ per cwt.					
July	1032.00	1036.00	1020.00	1056.50	28.00 45
Sept	1032.50	1070.00	1026.00	1059.00	28.00 7,746
<b>Wheat (CBT)</b> -5,000 bu.; cents per bu.					
July	415.25	418.75	408.00	415.75	-3.75 506
Sept	432.25	434.00	421.00	428.50	-5.00 252,596
<b>Wheat (KC)</b> -5,000 bu.; cents per bu.					
July	396.00	402.50	393.50	400.50	-1.50 748
Sept	416.50	417.00	406.50	414.75	-1.75 138,163
<b>Wheat (MPLS)</b> -5,000 bu.; cents per bu.					
July	496.75	498.50	494.25	497.00	-6.75 277
Sept	508.50	509.00	496.50	502.25	-6.00 28,175
<b>Cattle-Feeder (CME)</b> -50,000 lbs.; cents per lb.					
Aug	144.450	146.100	143.500	144.575	.500 24,588
Sept	143.675	145.000	142.325	143.700	.350 6,047
<b>Cattle-Live (CME)</b> -40,000 lbs.; cents per lb.					
Aug	113.900	114.400	112.325	112.800	-.850 112,826
Oct	114.150	114.575	112.675	113.100	-.900 66,357
<b>Hogs-Lean (CME)</b> -40,000 lbs.; cents per lb.					
July	82.150	82.350	81.500	81.575	-4.75 15,718
Aug	83.200	83.350	80.525	80.625	-2.625 99,949
<b>Lumber (CME)</b> -110,000 bd. ft.; \$ per 1,000 bd. ft.					
July	315.00	317.50	314.00	315.10	-2.40 400
Sept	320.90	323.60	317.20	321.60	-1.20 3,337
<b>Milk (CME)</b> -200,000 lbs.; cents per lb.					
July	14.97	15.28	14.93	15.14	.17 5,443
Aug	15.58	16.11	15.47	15.92	.22 4,701
<b>Cocoa (ICE-US)</b> -10 metric tons; \$ per ton.					
July	3,104	3,109	3,104	3,119	15 19
Sept	3,066	3,088	3,046	3,080	15 92,266

	Contract				Open interest
	Open	High	Low	Settle	
<b>Coffee (ICE-US)</b> -37,500 lbs.; cents per lb.					
July	142.50	142.50	140.30	141.95	-2.25 111
Sept	144.85	144.95	140.05	143.30	-2.25 99,358
<b>Sugar-World (ICE-US)</b> -112,000 lbs.; cents per lb.					
Oct	20.93	20.96	20.18	20.57	-3.30 474,962
March'17	20.95	21.02	20.34	20.76	-1.8 209,727
<b>Sugar-Domestic (ICE-US)</b> -112,000 lbs.; cents per lb.					
Sept	28.40	28.40	28.40	28.55	.50 1,585
Jan'17	27.00	27.00	▲ 27.00	26.98	.33 1,446
<b>Cotton (ICE-US)</b> -50,000 lbs.; cents per lb.					
July	63.40	63.54	63.40	63.78	.03 2
Dec	65.54	65.99	64.55	65.30	.09 149,487
<b>Orange Juice (ICE-US)</b> -15,000 lbs.; cents per lb.					
July	187.65	188.65	▲ 187.00	185.85	-.80 556
Sept	184.10	188.40	▲ 182.00	183.55	-.05 14,280

Interest Rate Futures

<b>Treasury Bonds (CBT)</b> -\$100,000; pts 32nds of 100%					
Sept	175-270	177-040	175-170	175-280	-12.0 566,716
Dec	174-130	175-190	174-040	174-130	-11.0 124
<b>Treasury Notes (CBT)</b> -\$100,000; pts 32nds of 100%					
Sept	133-270	134-075	133-185	133-215	-7.0 2,867,894
Dec	133-005	133-095	132-250	132-255	-6.5 3,058
<b>5 Yr. Treasury Notes (CBT)</b> -\$200,000; pts 32nds of 100%					
Sept	122-152	122-220	122-097	122-112	-4.2 2,630,738
<b>2 Yr. Treasury Notes (CBT)</b> -\$200,000; pts 32nds of 100%					
Sept	109-232	109-245	109-202	109-207	-2.0 1,103,281
<b>30 Day Federal Funds (CBT)</b> -\$5,000,000; 100%-daily avg.					
July	99.618	99.620	99.613	99.615	-.003 190,133
Aug	99.620	99.625	99.620	99.625	-.005 163,240
<b>10 Yr. Del. Int. Rate Swaps (CBT)</b> -\$100,000; pts 32nds of 100%					
Sept	109.078	109.172	▲ 108.547	108.656	-.125 25,914
<b>1 Month Libor (CME)</b> -\$3,000,000; pts of 100%					
July	99.5450	99.5450	99.5425	99.5275	-.0100 1,602
Aug	99.5225	99.5225	99.5225	99.5175	-.0200 870
<b>Eurodollar (CME)</b> -\$1,000,000; pts of 100%					
July	99.3525	99.3550	99.3375	99.3400	-.0100 168,840
Sept	99.3450	99.3550	99.3250	99.3350	-.0100 1,204,990
Dec	99.3150	99.3300	99.2950	99.3050	-.0150 1,344,227
Dec'17	99.2050	99.2350	99.1600	99.1700	-.0350 1,174,453

Currency Futures

<b>Japanese Yen (CME)</b> -¥125,000,000; \$ per 100¥					
Sept	.9854	1.0005	.9851	.9886	.0016 149,619
Dec	.9945	1.0038	.9887	.9921	.0016 656
<b>Canadian Dollar (CME)</b> -CAD 100,000; \$ per CAD					
Sept	.7702	.7724	.7659	.7719	.0018 112,324
Dec	.7685	.7724	.7653	.7720	.0018 3,668
<b>British Pound (CME)</b> -£62,500; \$ per £					
Sept	1.3028	1.3039	▼ 1.2806	1.2935	-.0102 225,794
Dec	1.3056	1.3056	▼ 1.2827	1.2952	-.0102 1,893
<b>Swiss Franc (CME)</b> -CHF 125,000; \$ per CHF					
Sept	1.0271	1.0310	1.0238	1.0307	.0034 34,700
<b>Australian Dollar (CME)</b> -AUD 100,000; \$ per AUD					
Sept	.7442	.7509	.7388	.7499	.0059 71,847
Dec	.7401	.7485	.7368	.7476	.0059 91,131
<b>Mexican Peso (CME)</b> -MXN 500,000; \$ per MXN					
Sept	.05274	.05301	.05237	.05290	.00013 102,866
Dec	.05333	.05422	.05330	.05326	.00012 242
<b>Euro (CME)</b> -€125,000; \$ per €					
Sept	1.1095	1.1143	1.1060	1.1134	.0033 355,995
Dec	1.1132	1.1181	1.1100	1.1173	.0033 4,539

Index Futures

<b>Mini DJ Industrial Average (CBT)</b> -\$5 x index					
Sept	17772	17838	17618	17834	72 98,927
Dec	17595	17740	17532	17740	72 324
<b>S&amp;P 500 Index (CME)</b> -\$250 x index					
Sept	2062.00	2094.20	2066.50	2094.10	11.40 87,015
<b>Mini S&amp;P 500 (CME)</b> -\$50 x index					
Sept	2084.00	2094.50	2065.75	2094.10	11.35 2,990,285
Dec	2073.00	2085.75	2057.50	2085.50	11.50 12,811
<b>Mini S&amp;P Midcap 400 (CME)</b> -\$100 x index					
Sept	1480.00	1488.20	1467.40	1486.70	8.50 81,168
Dec	1479.00	1465.70	1461.30	1461.30	8.50 10
<b>Mini Nasdaq 100 (CME)</b> -\$20 x index					
Sept	4406.0	4440.5	4365.8	4439.5	35.5 199,778
Dec	4378.3	4430.5			



# Robbins Geller Rudman & Dowd LLP Announces Proposed Settlement of Aerohive Networks, Inc. Shareholder Litigation

11:30 ET from Robbins Geller Rudman & Dowd LLP (<http://www.prnewswire.com/news/robbins+geller+rudman+%27and%27+dowd+llp>)



SAN MATEO, Calif., July 7, 2016 /PRNewswire/ -- The following statement is being issued by Robbins Geller Rudman & Dowd LLP regarding the Aerohive Networks, Inc. Shareholder Litigation:

SUPERIOR COURT OF THE STATE OF CALIFORNIA  
COUNTY OF SAN MATEO

In re AEROHIVE NETWORKS, INC.	)	Master File No. CIV 534070
SHAREHOLDER LITIGATION	)	<u>CLASS ACTION</u>
	)	Assigned for All Purposes to
	)	Hon. Marie S. Weiner
This Document Relates To:	)	DEPT: 2
ALL ACTIONS.	)	DATE ACTION FILED: 06/02/15
	)	

### SUMMARY NOTICE OF PROPOSED SETTLEMENT OF CLASS ACTION

**TO: ALL PERSONS OR ENTITIES ("PERSONS") THAT PURCHASED AEROHIVE NETWORKS, INC. ("AEROHIVE" OR THE "COMPANY") COMMON STOCK PURSUANT OR TRACEABLE TO THE REGISTRATION STATEMENT AND PROSPECTUS ISSUED IN CONNECTION WITH AEROHIVE'S MARCH 27, 2014 INITIAL PUBLIC OFFERING ("IPO")**

**THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY.**

YOU ARE HEREBY NOTIFIED that a hearing will be held on **September 30, 2016, at 9:00 a.m.**, before the Honorable Marie S. Weiner at the Superior Court of California, County of San Mateo, Department 2, Courtroom 2E, 400 County Center, Redwood City, CA 94063, to determine whether: (1) the proposed settlement as set forth in the Stipulation of Settlement dated May 4, 2016 ("Stipulation") of the above-captioned action ("Litigation") for \$5,750,000 in cash should be approved by the Court as fair, reasonable, and adequate; (2) to award Plaintiffs' Counsel attorneys' fees and expenses out of the Settlement Fund (as defined in the Notice of Proposed Settlement of Class Action ("Notice"), which is discussed below); (3) to pay Plaintiffs for their time and expenses they incurred in representing the Class in this Litigation out of the Settlement Fund; and (4) the Plan of Allocation should be approved by the Court as fair, reasonable, and adequate.

This Litigation is a securities class action brought on behalf of those Persons who purchased the common stock of Aerohive pursuant or traceable to the Registration Statement and Prospectus ("Registration Statement") issued in connection with the Company's March 27, 2014 IPO during the period beginning on March 27, 2014 and ending on September 23, 2014 ("Class Members"), against Aerohive, certain of its key executives and directors, and underwriters of Aerohive's IPO (collectively,

"Defendants") for alleged misstatements and omissions of material facts in the Registration Statement filed with the SEC in connection with the IPO, concerning, among other things, the alleged technological deficiencies in the Company's products, increasing competition, and employee turnover that was allegedly impacting sales. Defendants deny all of Plaintiffs' allegations.

IF YOU PURCHASED AEROHIVE COMMON STOCK PURSUANT OR TRACEABLE TO THE COMPANY'S REGISTRATION STATEMENT FILED WITH THE SEC IN CONNECTION WITH THE COMPANY'S MARCH 27, 2014 IPO, YOUR RIGHTS WILL BE AFFECTED BY THE SETTLEMENT OF THIS LITIGATION.

To share in the distribution of the Net Settlement Fund, you must submit a Proof of Claim and Release form ("Proof of Claim") **by mail (postmarked no later than September 27, 2016) or electronically no later than September 27, 2016**. Your failure to submit your Proof of Claim by September 27, 2016, will subject your claim to rejection and preclude your receiving any of the recovery in connection with the settlement of this Litigation. If you are a Member of the Class and do not request exclusion, you will be bound by the settlement and any judgment and release entered in the Litigation, including, but not limited to, the Judgment, whether or not you submit a Proof of Claim.

If you have not received a copy of the Notice, which more completely describes the settlement and your rights thereunder (including your right to object to the settlement or exclude yourself from the Class), and a Proof of Claim, you may obtain these documents, as well as a copy of the Stipulation (which, among other things, contains definitions for the defined terms used in this Summary Notice) and other settlement documents, online at [www.aerohivesecuritieslitigation.com](http://www.aerohivesecuritieslitigation.com), or by contacting:

*Aerohive Securities Litigation*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 30216  
College Station, TX 77842-3216  
Phone: 1-844-206-5871

Inquiries should NOT be directed to Defendants, the Court, or the Clerk of the Court. Inquiries may also be made to a representative of Lead Counsel:

ROBBINS GELLER RUDMAN  
& DOWD LLP  
Shareholder Relations  
Rick Nelson  
655 West Broadway, Suite 1900  
San Diego, CA 92101  
Phone: 1-800-449-4900

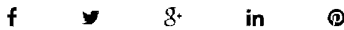
IF YOU DESIRE TO BE EXCLUDED FROM THE CLASS, YOU MUST SUBMIT A REQUEST FOR EXCLUSION SUCH THAT IT IS **POSTMARKED NO LATER THAN SEPTEMBER 9, 2016**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE. ALL MEMBERS OF THE CLASS WHO HAVE NOT REQUESTED EXCLUSION FROM THE CLASS WILL BE BOUND BY THE SETTLEMENT ENTERED IN THE LITIGATION EVEN IF THEY DO NOT FILE A TIMELY PROOF OF CLAIM.

IF YOU ARE A CLASS MEMBER, YOU HAVE THE RIGHT TO OBJECT TO THE SETTLEMENT, THE PLAN OF ALLOCATION, THE REQUEST BY PLAINTIFFS' COUNSEL FOR AN AWARD OF ATTORNEYS' FEES AND EXPENSES, AND/OR THE PAYMENT TO PLAINTIFFS FOR THEIR TIME AND EXPENSES. ANY OBJECTIONS MUST BE FILED WITH THE COURT AND COPIES SENT TO LEAD COUNSEL BY SEPTEMBER 9, 2016, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE.



BY ORDER OF THE SUPERIOR COURT OF  
DATED: June 8, 2016 CALIFORNIA, COUNTY OF SAN MATEO  
HONORABLE MARIE S. WEINER

SOURCE Robbins Geller Rudman & Dowd LLP



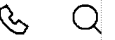
**Read More**

# Robbins Geller Rudman & Dowd LLP

JUN 24, 2016, 19:00 ET

**Robbins Geller Rudman & Dowd LLP Files Class Action Suit Against...**

(<http://www.prnewswire.com/news-releases/robbins-geller-rudman--dowd-llp-files-class-action-suit-against-inovalon-holdings-inc-300290124.html>)



(/)

# Robbins Geller Rudman & Dowd LLP

JUN 24, 2016, 13:08 ET

## Robbins Geller Rudman & Dowd LLP Files Class Action Suit Against...

(<http://www.prnewswire.com/news-releases/robbins-geller-rudman--dowd-llp-files-class-action-suit-against-cbl--associates-properties-inc-300289982.html>)

JUL 05, 2016, 07:59 ET

## Robbins Geller Rudman & Dowd LLP Announce Proposed Settlement...

(<http://www.prnewswire.com/news-releases/robbins-geller-rudman--dowd-llp-announce-proposed-settlement-involving-all-persons-who-purchased-or-otherwise-acquired-the-common-stock-of-urban-outfitters-inc-300289734.html>)

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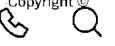
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**DECLARATION OF SERVICE BY MAIL & EMAIL**

I, the undersigned, declare:

1. That declarant is and was, at all times herein mentioned, a citizen of the United States and a resident of the County of San Diego, over the age of 18 years, and not a party to or interested party in the within action; that declarant's business address is 655 West Broadway, Suite 1900, San Diego, California 92101.

2. That on December 28, 2016, declarant served the SUPPLEMENTAL DECLARATION OF MICHAEL JOAQUIN REGARDING NOTICE AND ADMINISTRATION by depositing a true copy thereof in a United States mailbox at San Diego, California in a sealed envelope with postage thereon fully prepaid and addressed to the parties listed on the attached Service List.

3. That there is a regular communication by mail between the place of mailing and the places so addressed.

4. Also, on December 28, 2016, declarant served the SUPPLEMENTAL DECLARATION OF MICHAEL JOAQUIN REGARDING NOTICE AND ADMINISTRATION via electronic mail on all parties listed on the attached Service List.

I declare under penalty of perjury that the foregoing is true and correct. Executed on December 28, 2016, at San Diego, California.

  
\_\_\_\_\_  
JACLYN STARK

**Counsel for Defendant(s)**

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